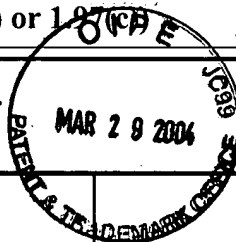


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
9279.79

In Re Application Of: Michael James Pratt et al.

Serial No:  
10/757,744Filing Date  
January 15, 2004Examiner  
UnknownGroup Art Unit  
Unknown

Title: POCKET CLOSURE DEVICE

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

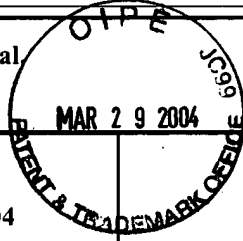
OR

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
9279.79

In Re Application: Michael James Pratt et al



Serial No.

10/757,744

Filing Date

January 15, 2004

Examiner

Unknown

Group Art Unit

Unknown

**POCKET CLOSURE DEVICE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 500843 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

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(Date)

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Signature

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Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited  
March 23, 2004 with the U.S. Postal Service  
as first class mail under 37 C.F.R. 1.8 and is  
addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

Michael F. Krieger

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Signature

Dated: March 23, 2004

Michael F. Krieger  
Registration No. 35,232  
KIRTON & McCONKIE  
1800 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, UT 84111  
Tel: (801) 321-4814  
Fax: (801) 321-4893

CC:



PATENT APPLICATION  
Docket No: 9279.79

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                      |                            |            |
|----------------------|----------------------------|------------|
| In re application of | Michael James Pratt et al. | )          |
|                      |                            | )          |
| Serial No.:          | 10/757,744                 | ) Art Unit |
|                      |                            | ) Unknown  |
| Confirmation No.:    | Unknown                    | )          |
|                      |                            | )          |
| Filed:               | January 15, 2004           | )          |
|                      |                            | )          |
| For:                 | POCKET CLOSURE DEVICE      | )          |

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Mail Stop: DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be “prior art” within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

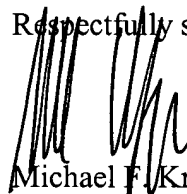
believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Pursuant to 37 C.F.R. § 1.491(b), since this application was filed after June 30, 2003, copies of all U.S. patents and U.S. patent application publications listed on the Form PTO-1449 are not being submitted.

Please credit any over payment or charge any additional fees to Deposit Account No. 500843 of the undersigned.

DATED this 23<sup>rd</sup> day of March 2004.

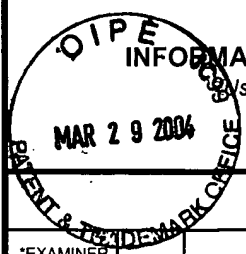
Respectfully submitted,



Michael F. Krieger  
Attorney for Applicant  
Registration No. 35,232

KIRTON & McCONKIE  
1800 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Telephone: (801) 328-3600  
Facsimile: (801) 328-1707

MFK:jrj

|   |    |                 |          |                            |       |                          |                               |
|---|----|-----------------|----------|----------------------------|-------|--------------------------|-------------------------------|
|  |    |                 |          | ATTY DOCKET NO.<br>9279.79 |       | SERIAL NO.<br>10/757,744 |                               |
|   |    |                 |          | Michael James Pratt et al. |       |                          |                               |
|   |    |                 |          | FILING<br>January 15, 2004 |       | GROUP<br>Unknown         |                               |
| <b>U.S. PATENT DOCUMENTS</b>  |    |                 |          |                            |       |                          |                               |
| *EXAMINER<br>INITIAL  |    | DOCUMENT NUMBER | DATE     | NAME                       | CLASS | SUBCLASS                 | FILING DATE<br>IF APPROPRIATE |
|   | 1  | 6,478,151       | 11/12/02 | Schmidt et al.             | 206   | 315.5                    | 09/07/01                      |
|   | 2  | 6,357,586       | 03/19/02 | Pratt et al.               | 206   | 315.9                    | 05/23/00                      |
|   | 3  | 6,131,733       | 10/17/00 | Ergle et al.               | 206   | 315.1                    | 03/29/99                      |
|   | 4  | 5,988,379       | 11/23/99 | Yearous                    | 206   | 315.3                    | 08/18/98                      |
|   | 5  | 5,899,371       | 05/04/99 | Weliver                    | 224   | 245                      | 02/26/98                      |
|   | 6  | 5,803,333       | 09/08/98 | Fawcett                    | 224   | 652                      | 08/14/96                      |
|   | 7  | 5,560,749       | 10/01/96 | Madison et al.             | 8     | 111                      | 06/06/95                      |
|   | 8  | 5,425,452       | 06/20/95 | Shanks et al.              | 206   | 315.7                    | 06/20/94                      |
|   | 9  | 5,407,112       | 04/18/95 | Christodoulou et al.       | 224   | 153                      | 06/21/93                      |
|   | 10 | 5,402,883       | 04/04/95 | Shin                       | 206   | 315.3                    | 01/27/93                      |
|   | 11 | 5,341,928       | 08/30/94 | Jones et al.               | 206   | 315.5                    | 05/14/93                      |
| <b>FOREIGN PATENT DOCUMENTS</b>   |    |                 |          |                            |       |                          |                               |
|   |    | DOCUMENT NUMBER | DATE     | COUNTRY                    | CLASS | SUBCLASS                 | TRANSLATION<br>YES NO         |
|   |    | None            |          |                            |       |                          |                               |
|   |    |                 |          |                            |       |                          |                               |
|   |    |                 |          |                            |       |                          |                               |
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|   |    |                 |          |                            |       |                          |                               |
|   |    |                 |          |                            |       |                          |                               |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>   |    |                 |          |                            |       |                          |                               |
|   |    |                 | None     |                            |       |                          |                               |
|   |    |                 |          |                            |       |                          |                               |
| EXAMINER  |    |                 |          | DATE CONSIDERED            |       |                          |                               |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

|  |                                   |                                 |
|--|-----------------------------------|---------------------------------|
| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i> | ATTY DOCKET NO.<br><b>9279.79</b> | SERIAL NO.<br><b>10/757,744</b> |
|  | <b>Michael James Pratt et al.</b> |                                 |
|  | FILING<br><b>January 15, 2004</b> | GROUP<br><b>Unknown</b>         |

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE     | NAME          | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----------------|----------|---------------|-------|----------|-------------------------------|
| 12                   | 5,269,410       | 12/14/93 | Abregano      | 206   | 315.5    | 09/28/92                      |
| 13                   | 5,222,598       | 06/29/93 | Yamazoe       | 206   | 315.5    | 12/11/90                      |
| 14                   | 5,176,253       | 01/05/93 | Perrin et al. | 206   | 315.5    | 01/03/92                      |
| 15                   | 4,869,365       | 09/26/89 | Perdue t al.  | 206   | 315.5    | 07/11/88                      |
|                      |                 |          |               |       |          |                               |
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**FOREIGN PATENT DOCUMENTS**

| DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|-----------------|------|---------|-------|----------|-------------|----|
|                 |      |         |       |          | YES         | NO |
|                 |      |         |       |          |             |    |
|                 |      |         |       |          |             |    |
|                 |      |         |       |          |             |    |
|                 |      |         |       |          |             |    |
|                 |      |         |       |          |             |    |
|                 |      |         |       |          |             |    |

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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| <b>EXAMINER</b> | <b>DATE CONSIDERED</b> |
|-----------------|------------------------|

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